12-06-00

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Please type a plus sign (+) inside box [+]

PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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jc965 U.S. PTO 12/04/00

jc625 U.S. PTO 09/730034 12/04/00

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 3801.P011
(maximum 12 characters)

First Named Inventor Alex Poon

Title: METHOD AND APPARATUS FOR FACILITATING USER REGISTRATION IN AN ON-LINE AUCTION ENVIRONMENT

Express Mail Label No. EL627464777US

ADDRESS TO:	**Assistant Commissioner for Patents** **Box Patent Application** **Washington, D. C. 20231**

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)

2. [] **Applicant Claims Small Entity Status. (37 CFR 1.27)**

3. [X] **Specification (Total Pages 22)**
(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. [X] **Drawings(s) (35 USC 113) (Total Sheets 8)**

5. [X] **Oath or Declaration (Total Pages 6)**

 a. [X] Newly Executed (Original or Copy)

 b. [] Copy from a Prior Application (37 CFR 1.63(d))
 (for Continuation/Divisional with Box 17 completed)

 i. [] DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

 c. [] Unsigned.

6. [] **Application Data Sheet. (37 CFR 1.76)**

7. [] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. [] Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 a. [] Computer Readable Form (CRF)
 b. [] Specification Sequence Listing on:
 i. [] CD-ROM or CD-R (2 copies); or
 ii. [] paper
 c. [] Statement verifying identity of above copies

Docket #: 3801.P011

ACCOMPANYING APPLICATION PARTS

9.	X	**Assignment Papers (cover sheet & documents(s))**
10.		a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
11.		English Translation Document (if applicable)
12.		a. Information Disclosure Statement (IDS)/PTO-1449
		b. Copies of IDS Citations
13.		**Preliminary Amendment**
14.	X	**Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)
16.	X	**Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17.		Other: Certificate of Express Mail with copy of postcard showing contents of Express Mail Package.

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

___ **Continuation** ___ **Divisional** ___ **Continuation-in-part (CIP)**
Of Prior Application No.: _____ **Examiner** _____ **Group Art Unit** _____

(which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____,
which is a ___ continuation/ ___ divisional/ ___ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:
The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

X Customer Number or Bar Code Label 008791 (Insert Customer No. or Attach Bar Code Label here)
or
X Correspondence Address Below

NAME Marina Portnova
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard
Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): Marina Portnova **Registration No.:** 45,750

Signature: M. Portnova **Date:** December 4, 2000

PTO/SB/17(08-00)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT ($) $938.00

Complete if Known:
Application No. Unassigned
Filing Date Unassigned
First Named Inventor Alex Poon
Group Art Unit Unassigned
Examiner Name Unassigned
Attorney Docket No. 3801.P011

METHOD OF PAYMENT (check one)

1. [x] **The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

 Deposit Account Number 02-2666
 Deposit Account Name

 [x] **Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**

2. X **Payment Enclosed:**
 X **Check**
 ____ **Money Order**
 ____ **Other**

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	710	201	355	Utility application filing fee	710.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

SUBTOTAL (1) $710.00

2. EXTRA CLAIM FEES

		Extra Claims		Fee from below		Fee Paid
Total Claims	26	-20** =	6	X 18.00	=	108.00
Independent Claims	4	-3** =	1	X 80.00	=	80.00
Multiple Dependent					=	

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) $188.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,240	241	620	Petition to revive unintentionally abandoned application	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) $ 40.00

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: Marina Portnova

Signature: M. Portnova Date: December 4, 2000

Reg. Number: 45,750 Telephone Number: (408) 720-8300

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

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Date of Deposit: December 4, 2000

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

Michelle Begay
(Typed or printed name of person mailing paper or fee)

Michelle Bg
(*Signature of person mailing paper or fee*) December 4, 2000 *Date*

Serial/Patent No.: *** Filing/Issue Date: ***
Client: eBay, Inc.
Title: METHOD AND APPARATUS FOR FACILITATING USER REGISTRATION IN AN ON-LINE AUCTION ENVIRONMENT
BSTZ File No.: 003801.P011 Atty/Secty Initials: ALM/MP/mb
Date Mailed: December 4, 2000 Docket Due Date: December 3, 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (____ pgs.)
- ☐ Appeal Brief (____ pgs.) (in triplicate)
- ■ Application - Utility (23 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (____ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (____ pgs.)
- ☐ Application - Rule 1.53(b) CIP (____ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- ☐ Application - Design (____ pgs.)
- ☐ Application - PCT (____ pgs.)
- ☐ Application - Provisional (____ pgs.)
- ■ Assignment and Cover Sheet
- ■ Certificate of Mailing
- ■ Declaration & POA (6 pgs.)
- ☐ Disclosure Docs & Orig & Copy of Inventors Signed Letter(____pgs)
- ■ Drawings: 8 # of sheets includes 8 figures
- ■ Express Mail No EL627464777US
- ☐ ____ Month(s) Extension of Time
- ☐ ~~Information Disclosure Statement & PTO-1449~~ (__ pgs.)
- ☐ Issue Fee Transmittal
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for ____
- ■ Postcard
- ☐ Power of Attorney (____ pgs.)
- ☐ Preliminary Amendment (____ pgs.)
- ☐ Reply Brief (____ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ■ Transmittal Letter, ~~XXXXXX~~
- ■ Fee Transmittal, in duplicate
- ■ Check No. 39320 Amt: $898.00
- ■ Check No. 39336 Amt: $40.00

■ Other: Form PTO/SB/35 and Certificate of Express Mail with copy of postcard showing contents of Express Mail package.

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REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor Alex Poon

Title METHOD AND APPARATUS FOR FACILITATING USER REGISTRATION IN AN ON-LINE AUCTION ENVIRONMENT

Attorney Docket No. 3801.P011

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 4, 2000
Date

M. Portnova
Signature

Marina Portnova
Typed or Printed Name

45,750
Registration No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

Docket # 3801.P011